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Date: March 31, 2004

PATENT 36856.510

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hajime KANDO

Serial No.: 09/887,173

Filed: June 22, 2001

Title: SURFACE ACOUSTIC WAVE DEVICE

Art Unit: 2834

Examiner: T. Dougherty

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith is a copy of four (4) references. For the Examiner's convenience, we have enclosed a completed Form PTO-1449. Although one of the enclosed references (Hanma et al.) is in English, applicant has not included English abstracts of the three (3) other references as their relevance was discussed in the originally filed Specification (see pages 3, 5-7). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

U.S. Serial No. 09/887,173 March 31, 2004 Page 2 of 2

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

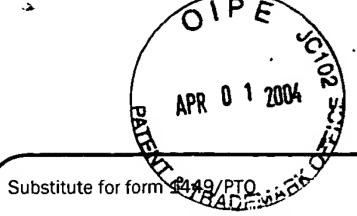
Date: March 31, 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)
Sheet 1 of

Complete if Known					
Application Number	09/887,173				
Filing Date	June 22, 2001				
First Named Inventor	Hajime KANDO				
Art Unit	2834				
Examiner Name	T. Dougherty				
Attorney Docket Number	36856.510				

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- tue number(s), publisher, city and/or country where published.				
	1	HANMA et al., "A Triple Transit Suppression Technique" IEEE Ultrasonic Symposium Proceedings, 1976, pp. 328-331	Х			
	2	TAKEUCHI et al., "Direct Numerical Analysis of SAW Coupling of Mode Equations and Its Application", 27 th EM Symposium, pp.109-116				
	3	OHBUCHI et al., "Analysis of Excitation Characteristics of Interdigital SAW Transducers Using Coupling of Modes Theory" Institute of Electronics, Information and Communication Engineers of Japan, Technical Report MW90-62, pp. 69-74				
	4	TAJIMA et al., "A Design Method for SAW Grating Reflectors" General Convention of Institute of Electronics, Information and Communication Engineers of Japan, 1999, p. 279				
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	- 1-1-1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1					

Examiner	Date		
Signature	Considered		

^{*}Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.